

COLEMAN SUDOL, LLP
PATENT, TRADEMARK AND COPYRIGHT MATTERS

06/29/99



708 Third Avenue, Fourteenth Floor
NEW YORK, NY 10017
PHONE: (212) 679-0090
FAX: (212) 679-9121
E-MAIL: COSUD@EROLS.COM

EXPRESS MAIL No.: **EL315301380 US** Deposited: **June 29, 1999**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 C.F.R. 1.10 on the date indicated above and is addressed to: ASSISTANT COMMISSIONER FOR PATENTS, Box Patent Application, Washington, DC 20231

Jay Pattumudi
Jay Pattumudi

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Date: **June 29, 1999**
Docket No: **W07-426**



Sir:

Transmitted herewith for filing is the patent application in the name(s) of:

Peter J. WILK

FOR: **Apparatus and Method for Resonant Destruction of Tumors**

ENCLOSED ARE:

- (1) Specification pgs. 1-36, Claims pgs. 37-44 & Abstract pg. 45;
- (2) (10) sheet(s) of Drawings (Figs. 1-22);
- (3) Declaration and Power of Attorney ;
- (4) Verified Statement Claiming Small Entity Status

THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

	Claims filed	Extra	SMALL ENTITY or	LARGE ENTITY
Basic Fee			\$ 380.00	\$ 760.00
Total Claims	28	- 20 = 8	x \$ 9.= 72.00	x \$ 18.=
Indep. Claims	3	- 03 = 0	x \$ 39.=	x \$ 78.=
() Multiple Dependent Claim Presented?			+ \$130.=	+ \$260.=
Total Filing Fee:			\$ 452.00	\$
Assignment recordation fee (\$ 40.00):			\$	\$
CHECK ENCLOSED:			\$ 452.00	\$

The Commissioner is hereby authorized to charge payment of all fees associated with the filing and prosecution of this application but not limited to: (X) Any patent application processing fees under 37 C.F.R. 1.17
(X) Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.

and credit any overpayment to Deposit Account No. **04-0838**. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

R. Neil Sudol
R. Neil Sudol, Reg. No. 31,669

Enclosures

Applicant/Patentee: Peter J. Wilk
Serial/Patent No. Not yet assigned
Filed/Issued Herewith
For Apparatus and Method for Resonant Destruction of Tumors
Attorney's Docket No.: W07-426

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Wilk Patent Development Corporation
ADDRESS OF CONCERN 160 Third Avenue, New York, NY 10013

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18; and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: Apparatus and Method for Resonant Destruction of Tumors by inventor(s) Peter J. Wilk described in

☒ (X) the specification filed herewith.

☐ () application serial no. _____, filed _____.

☐ () patent no. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

COLEMAN SUDOL, LLP
708 Third Avenue, 14th Floor
New York, New York 10017
(212) 679-0090

Cont'd.

Verified Statement (Declaration) Claiming Small Entity Status
(37 CFR 1.9(f) and 1.27(c)) - Small Business Concern

Page 2

Applicant/Patentee: Peter J. Wilk
Serial/Patent No.: Not yet assigned
Filed/Issued: Herewith
For: Apparatus and Method for Resonant Destruction of Tumors
Attorney's Docket No.: W07-426

NAME OF CONCERN _____
ADDRESS OF CONCERN _____

()INDIVIDUAL ()SMALL BUSINESS CONCERN ()NONPROFIT ORGANIZATION

I acknowledge the duty to file in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patents issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Peter J. Wilk
TITLE OF PERSON OTHER THAN OWNER President
ADDRESS OF PERSON SIGNING 185 West End Avenue, New York, NY 10023

SIGNATURE

DATE _____

COLEMAN SUDOL, LLP
708 Third Avenue, 14th Floor
New York, New York 10017
(212) 679-0090